Form No:	
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# MANASHVI SECURITIES LTD.

Prosperity through Experience, since 1958

CIN - U67120MH1997PLC110688

Member of BSE Cash Segment Clg No. 697 SEBI Regn. No. INZ 000225733 Member of CDSL - SEBI Regn. No. IN-DP-CDSL-215-2003 Member of NSE Cash & Derivative Segment Code 09820 SEBI Regn. No. NSE CASH / F & O / CDS - INZ 000225733

**Regd. Office** : 524, P.J.Tower, Dalal Street, Fort Mumbai-400 023. Tel: 2272 3480

www.manashvi.com

Corporate Office : 417/A, Anjani Complex, Pereira Hill Road, Opp. Gurunanak Petrol Pump, Nr. WEH

Metro Station, Andheri (E) Mumbai-400 099 Tel: 2825 3301/02

E-mail: manashvi@manashvi.com

**Gandhinagar Office**: 556 1st Floor, Opp. Tejas Society, Sector-23, Gandhinagar-382 024.

Tel: 079-68101112 | Fax: 079-68101105 &

562/1, Arambh, opp. Tejas Society, sector-23, Gandhinagar-382024. Tel: 079-68101107/08 | Email: gandhinagar@manashvi.com



# **Combined Account Opening Form**

251112			
DEMAT A/C	BSE CASH	NSE CASH	

Sub Broker Name	:
Unique Client Code	:
BO ID NO.	12047800
Name (1)	:
Name (2)	:
Name (3)	

## **Guidance for Applications for account opening**

- 1. Signatures can be in English or Hindi or any of the other languages contained in the 8th schedule of the Constitution of India Thumb impressions and signatures other than the above mentioned languages must be attested by a magistrate or a Notary Public or a Special Executive Magistrate under his/her official seal.
- 2. Signature should be preferably in **blackink**.
- 3. Details of the Names, Address and Tel. No. etc. of the Magistrate / Notary Public / Special Executive Officers are to be provided incase of any attestation done by them.
- 4. In case of additional signature (For accounts other than individuals), separate annexure should be attached to the application form.
- 5. In case of applications under a Power of Attorney, the relevant Power of Attorney or the certified and duly notarized copy thereof must be lodged along with the application.
- 6. All correspondence / queries shall be addressed to the first/sole applicant.
- 7. All holders of the account will sign all the depository instructions / correspondence given to us in future.
- 8. Where the holder is minor, person lawfully entitled to act on behalf of the minor should sign the nomination.
- 9. Any extra / additional information must be provided in writing along with the application form.
- 10. Please note that incomplete applications will not be accepted.
- 11. Kindly strike off whichever is not applicable.
- 12. Suffix Refers to Mr. XJunior or Mr. XSenior or Loryy or Lord YII etc.

## ADDITIONAL DOCUMENTS REQUIRED FOR THE FOLLOWING CATEGORIES

Sr. No.	Category	Description
1.	NRI with Repatriation benefits	RBI approval for acquiring shares/ securities     Bank Mandate
2.	NRI without Repatriation benefits	Letter of undertaking not to remit money abroad     Bank mandate, if address given is a foreign address
3.	Minor	Guardian's Name     Guardian's relationship to the minor proof     Birth Certificate of the minor     Guardian will sign across the Photograph of Minor.
4.	Foreign National	RBI approval for acquiring shares & securities     Bank Mandate.
5.	Individual who have given POA	In case the client wishes to or has granted power of attorney, copy of a certified copy of power of attorney should be provided along with the proof of identity and address of the person to whom power of attorney has been granted.

#### **ACCOUNT OPENING KIT**

#### **INDEX**

Name of the Document	Brief Significance of the Document	Page No.
Traine or the Botainent	Brief Significance of the Botament	l age ito.

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	KYC (2nd Holder)  Trading & Demat from with Instructions  Policy & Procedure  SMS Alert Form  Tariff Sheet  Rate Instructions  Proprietary Trading  STWT  Power of Attorney (Demat) - Non Mandatory Document  Non Mandatory Document  FATCA

## **MANASHVI SECURITIES LTD.**

SEBI Registration No. : Member of BSE Cash Segment Clg No. 697 SEBI Regn. No. INZ 000225733

Member of CDSL - SEBI Regn. No. IN-DP-CDSL-215-2003 Member of NSE Cash & Derivative Segment Code 09820 SEBI Regn. No. NSE CASH / F & O / CDS - INZ 000225733

Registered office address : 524, P.J.Tower, Dalal Street, Fort Mumbai-400 023. Tel: 2272 3480

www.manashvi.com

Correspondence office : 556, 1st Floor, Opp. Tejas Society, Sector-23, Gandhinagar-382 024.

address Tel: 079-68101112, Fax: 079-68101105

Compliance Officer BSE/NSE: Mr. Nilesh Y. Shah Phone No. 022-28253301 service@manashvi.com

Compliance Officer CDSL: Mr. Vidhyut K. Shah

For any grievance / dispute please contact Manashvi Securities ltd. at the above address or email id -

CDSL:

complaint@manashvi.com

Phone: 022-28253301

In case not satisfied with the response, please contact

NSE BSE CDSL

Tel.: (022) 2659 8100 Tel.: (022) 2272 8097 Tel.: (022) 22723333

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Know Your Client (KYC) Application Form (For In	Application New  Type* Undate KVC Number*							
(Please fill the form in English and i Fields marked with '*' are mandato	n BLOCKLetters)							
KYC Mode*: Please Tick (✓)	ry fields KYC Type* Normal (PAN is mandatory) PAN Exempt Investors (Refer instruction K)							
□ Normal □ EKYC OTP	☐ EKYC Biometric ☐ Online KYC ☐ offline KYC ☐ Digilocker							
1. Identity Details (Please refer instruction A at the end)								
PAN	Please enclose a duly attested copy of your PAN Card							
Name* (same as ID proof)	Prefix First Name Middle Name Last Name							
Maiden Name (If any*)								
Father / Spouse Name*								
Mother Name*								
Date of Birth*	D D - M M - Y Y Y Y P							
Gender*	☐ M- Male ☐ F- Female ☐ T-Transgender							
Marital Status*	☐ Married ☐ Unmarried ☐ Others							
Citizenship*	☐ IN - Indian ☐ Others − Country ☐ Country Code ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐							
Residential Status*	Resident Individual  Non Resident Indian  Photo  Gross sign  Resident Indian Origin							
Occupation Type*	Foreign National Person of Indian Origin  S -Service Private Sector Public Sector Government Sector							
	□ O-Others □ Professional □ Self Employed □ Retired □ Housewife □ Student Signature/							
	B-Business X-Not Categorised							
	for PAN exempt Investor or if PAN card copy not provided) (Please refer instruction C & K at the end) the following Proof of Identity [PoI] needs to be submitted)							
☐ A- Passport Number	Passport Expiry Date							
☐ B - Voter ID Card	Tatapan anan anan anan anan anan anan ana							
☐ D- Driving Licence	Driving Licence Expiry Date  Driving Licence Expiry Date							
☐ E - Aadhaar Card								
F - NREGA Job Card								
Z - Others (any document	notified by the central government) Identification Number							
3. Proof of Address (PoA)*								
3.1 Current / Permanent /	Overseas Address Details (Please see instruction D at the end)							
Address								
Line 1*								
Line 2 Line 3	City/Town/Village*							
District*								
State/UT*	Zip / Post Code* State/UT Code as per Indian Motor Vehicle Act , 1988  Country * Country Code as per ISO 3166							
* *	esidential / Business							
Proof of Address*								
Passport Number	Passport Expiry Date DD - MM - Y Y Y Y							
☐ Voter ID Card								
☐ Driving Licence	Driving Licence Expiry Date DD - MM - Y Y Y Y							
☐ Aadhaar Card								
☐ NREGA Job Card	ifind by the central government)							
_	ified by the central government)							
	nt / Overseas Address details (In case of multiple correspondence / local addresses, please fill "Annexure A1", Submit relevant documentary proof)							
Line 1*								
Line 2								
Line 3	City / Town / Village*							
District*	Zip / Post Code*  State/UT Code  as per Indian Motor Vehicle Act , 1988							
State/UT*	Country * Country Code as per ISO 3166							

Email ID	mmunications will be sent on provided Mobile no. / Email ID) (Please refer instruction <b>F</b> at the end)					
Mobile	Tel. (Off) Tel. (Res)					
5. FATCA/CRS Information	(Tick if Applicable) Residence for Tax Purposes in Jurisdiction(s) Outside India (Please refer instruction <b>B</b> at the end)					
Additional Details Requi	red* (Mandatory only if above option (5) is ticked)					
Country of Jurisdiction of	Residence* Country Code of Jurisdiction of Residence as per ISO 3166					
Tax Identification Number	r or equivalent (If issued by jurisdiction)*					
Place / City of Birth* Address	Country of Birth* Country Code as per ISO 3166					
Line 1*						
Line 2						
Line 3	City / Town / Village*					
District*	Zip / Post Code* State/UT Code as per Indian Motor Vehicle Act , 1988					
State/UT*	Country* Code as per ISO 3166					
6. Details of Related Perso	(Optional) (please refer instruction G at the end ) (in case of additional related persons, please fill Annexure B1)					
Related Person	☐ Deletion of Related Person KYC Number of Related Person (if available*)					
Related Person Type*	Guardian of Minor Assignee Authorized Representative					
Name*	Prefix First Name Middle Name Last Name					
Name	(If KYC number and name are provided, below details of section 6 are optional)					
Proof of Identity [Pol]	of Related Person* (Please see instruction (					
(Certified copy of any one	of the following Proof of Identity[Pol] needs to be submitted)					
A - Passport Number	Passport Expiry Date  DDD—MM—YYYYY					
B - Voter ID Card						
C - PAN Card						
D- Driving Licence	Driving Licence Expiry Date					
E - Aadhaar Card						
F - NREGA Job Card						
	nt notified by the central government)					
7. Applicant Declaration						
I haraby doclars that the data	Applicant e-Sign Applicant Wet Signature					
changes therein, immediately that I may be held liable for it. or any statute of legislation or I hereby consent to receiving i I/We hereby consent to receive I am/We are also aware that sharing my/our masked Aadh.	Is furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware hereby declare that I am not making this application for the purpose of contravention of any Act, Rules, Regulations may notifications/directions issued by any governmental or statutory authority from time to time. formation from Central KYC Registry through SMS/Email on the above registered number/Email address. for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to ar card With readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with with whom I have a business relationship for KYC purposes only.					
changes therein, immediately that I may be held liable for it. or any statute of legislation or I hereby consent to receiving i I/We hereby consent to receive I am/We are also aware that sharing my/our masked Aadh.	In case any of the above information is found to be false or intruse or misleading or misrepresenting, I am aware hereby declare that I am not making this application for the purpose of contravention of any Act, Rules, Regulations any notifications/directions issued by any governmental or statutory authority from time to time.  formation from Central KYC Registry through SMS/Email on the above registered number/email address ng Information from CVL KRA through SMS/Email on the above registered number/Email address.  for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to ar card With readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with					
changes therein, immediately that I may be held liable for it. or any statute of legislation or I hereby consent to receiving i I/We hereby consent to receiving i I am/We are also aware that sharing my/our masked Aadh. KRA and other Intermediaries	In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware hereby declare that I am not making this application for the purpose of contravention of any Act, Rules, Regulations my notifications/directions issued by any governmental or statutory authority from time to time. Information from Central KYC Registry through SMS/Email on the above registered number/Email address ing Information from CVL KRA through SMS/Email on the above registered number/Email address. For Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to arcard With readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with with whom I have a business relationship for KYC purposes only.  Place:  Place:					
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changes therein, immediately that I may be held liable for it. or any statute of legislation or I hereby consent to receiving i I/We hereby consent to receiving i I/We hereby consent to receive I am/We are also aware that sharing my/our masked Aadh. KRA and other Intermediaries  Date:  Date:  Do D M M  8. Attestation / For Office  Documents Received  KYC Verifice  Date	In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware hereby declare that I am not making this application for the purpose of contravention of any Act, Rules, Regulations into most information from CVL KRA through SMS/Email on the above registered number/Email address ing Information from CVL KRA through SMS/Email on the above registered number/Email address. For Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to arcard With readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with with whom I have a business relationship for KYC purposes only.  Place:  Buse Only  (Refer Instruction I)  Institution Details  Name					
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changes therein, immediately that I may be held liable for it. or any statute of legislation or I hereby consent to receiving i I/We hereby consent to receiving i I/We hereby consent to receive I am/We are also aware that sharing my/our masked Aadh. KRA and other Intermediaries  Date:    Date	In case any of the above information is found to be false or untrue or misrepresenting, I am aware hereby declare that I am not making this application for the purpose of contravention of any Act, Rules, Regulations my other than a subject of the purpose of contravention of any Act, Rules, Regulations my other than the purpose of the purpose of contravention of any Act, Rules, Regulations my other than the purpose of the purpose of contravention of any Act, Rules, Regulations my other than the purpose of the purpose of contravention of any Act, Rules, Regulations my other than the purpose of the pur					
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changes therein, immediately that I may be held liable for it. or any statute of legislation or I hereby consent to receiving i I/We hereby consent to receiving i I/We hereby consent to receive I am/We are also aware that sharing my/our masked Aadh. KRA and other Intermediaries  Date:    Date	In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware hereby declare that I am not making this application for the purpose of contravention of any Act, Rules, Regulations you not incations, direction is sued by any governmental or statutory authority from time to time.  Formation from Central KYC Registry through SMS/Email on the above registered number/email address or acard With readable QR code or my Adahas / MKJ/Diglicker MKI. flie, along with passcode and as applicable, with with whom I have a business relationship for KYC purposes only.  Place:  Place:  Buse Only  Certified Copies  attion Carried Out by (Refer Instruction I)  Institution Details  Name  Code  Emp. Branch  Institution Details  Name  Code  Code  Code					

Know Your Client (KYC)	Application New
Application Form (For In (Please fill the form in English and i	· · · · · · · · · · · · · · · · · · ·
Fields marked with '*' are mandato	
KYC Mode*: Please Tick (✓)  Normal EKYC OTP	☐ EKYC Biometric ☐ Online KYC ☐ offline KYC ☐ Digilocker
1. Identity Details (Please re	
PAN	Please enclose a duly attested copy of your PAN Card
	Prefix First Name Middle Name Last Name
Name* (same as ID proof)	
Maiden Name (If any*)	
Father / Spouse Name*	
Mother Name*	
Date of Birth*	D D - MM-Y Y Y Y
Gender*	☐ M- Male ☐ F- Female ☐ T-Transgender
Marital Status*	☐ Married ☐ Unmarried ☐ Others
Citizenship*	☐ IN- Indian ☐ Others − CountryCountry Code ☐
Residential Status*	☐ Resident Individual ☐ Non Resident Indian
	Foreign National Person of Indian Origin across sign
Occupation Type*	S - Service Private Sector Public Sector Government Sector  O - Others Professional Self Employed Retired Housewife Student
	B-Business X-Not Categorised Indusewile Student Signature/
2. Proof of Identity (PoI)* (	for PAN exempt Investor or if PAN card copy not provided) (Please refer instruction C & K at the end)
(Certified copy of any one of	the following Proof of Identity [Pol] needs to be submitted)
☐ A- Passport Number	Passport Expiry Date  D D — M M — Y Y Y Y
☐ B - Voter ID Card	
☐ D- Driving Licence	Driving Licence Expiry Date
☐ E - Aadhaar Card	
F - NREGA Job Card	notified by the central government)
	notified by the central government)
3. Proof of Address (PoA)*	
3.1 Current / Permanent / Address	Overseas Address Details (Please see instruction D at the end)
Line 1*	
Line 2	
Line 3	City/Town/Village*
District*	Zip / Post Code* State/UT Code as per Indian Motor Vehicle Act , 1988
State/UT*	Country * Country Code as per ISO 3166
Address Type*	esidential / Business Residential Business Registered Office Unspecified
(Certified copy of <u>any one</u> Proof of Address*	of the following Proof of Address [PoA] needs to be submitted)
Passport Number	Passport Expiry Date
☐ Voter ID Card	A SSPOR EXPINY SUCCESSION OF THE STATE OF TH
☐ Driving Licence	Driving Licence Expiry Date
☐ Aadhaar Card	
☐ NREGA Job Card	
Others (any document not	ified by the central government)
3.2 Correspondence / Loca	Il Address Details* (Please see instruction E at the end)
Same as Current / Permane	nt / Overseas Address details (In case of multiple correspondence / local addresses, please fill "Annexure A1", Submit relevant documentary proof)
Line 1*	
Line 2	
Line 3	City / Town / Village*
District*	Zip / Post Code* State/UT Code as per Indian Motor Vehicle Act , 1988
State/IIT*	Country * Country Code 3 s per ISO 3166

Email ID	mmunications will be sent on provided Mobile no. / Email ID) (Please refer instruction F	at the end)						
Mobile	Tel. (Off) Tel. (Res)							
5. FATCA/CRS Information	(Tick if Applicable) Residence for Tax Purposes in Jurisdiction(s) Outside India (Ple	ase refer instruction B at the end)						
Additional Details Required* (Mandatory only if above option (5) is ticked)								
Country of Jurisdiction of Re	Residence* Country Code of Jurisdiction of Resid	dence as per ISO 3166						
Tax Identification Number of	or equivalent (If issued by jurisdiction)*							
Place / City of Birth* Country of Birth* Country of Birth* Country Code as per ISO 3166  Address								
Line 1*								
Line 2								
Line 3	City / Tov	wn / Village*						
District*	Zip / Post Code* State/UT Code	as per Indian Motor Vehicle Act , 1988						
State/UT*	Country*	Country Code as per ISO 3166						
6. Details of Related Person	(Optional) (please refer instruction G at the end ) (in case of additional related persons, plea	ase fill Annexure B1)						
Related Person	☐ Deletion of Related Person KYC Number of Related Person (if available*)							
Related Person Type*	Guardian of Minor Assignee Authorized Representa							
Name*	Prefix First Name Middle Name	Last Name						
Trume	(If KYC number and name are provided, below details of section 6 are optional)							
Proof of Identity [Pol] of	of Related Person* (Please see instruction ( H ) at the end)							
	of the following Proof of Identity[Pol] needs to be submitted)							
☐ A - Passport Number	Passport Expiry Date							
☐ B - Voter ID Card								
C - PAN Card								
☐ D - Driving Licence	Driving Licence Expiry I	Date DD—MM—YYYY						
E - Aadhaar Card								
☐ F - NREGA Job Card								
	t notified by the central government)	mber						
7. Applicant Declaration	Applicant	a-Sign Applicant Wet Signature						
<ul> <li>I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it. I hereby declare that I am not making this application for the purpose of contravention of any Act, Rules, Regulations or any statute of legislation or any notifications/directions issued by any governmental or statutory authority from time to time.</li> <li>I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/Email address.</li> <li>I am/We are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar card With readable QR code or my Aadhaar XML/Digillocker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purposes only.</li> </ul>								
I hereby consent to receiving inforr     I/We hereby consent to receiving li     I am/We are also aware that for A sharing my/our masked Aadhaar consents.	g Information from CVL KRA through SMS/Email on the above registered number/Email address.  or Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to r card With readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with							
I hereby consent to receiving inforr     I/We hereby consent to receiving li     I am/We are also aware that for A sharing my/our masked Aadhaar consents.	g Information from CVL KRA through SMS/Email on the above registered number/Email address.  or Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to r card With readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with							
I hereby consent to receiving inforr     I/We hereby consent to receiving I     I am/We are also aware that for \( \)     sharing my/our masked Aadhaar c     KRA and other Intermediaries with	g Information from CVL KRA through SMS/Email on the above registered number/Email address.  or Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to reard With readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with lith whom I have a business relationship for KYC purposes only.  Place:  Place:							
I hereby consent to receiving inform I/We hereby consent to receiving in I am/We are also aware that for isharing my/our masked Aadhaar consenses with  Date:  Date:  8. Attestation / For Officee U	g Information from CVL KRA through SMS/Email on the above registered number/Email address.  or Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to r card With readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with ith whom I have a business relationship for KYC purposes only.  Place:  Use Only							
I hereby consent to receiving inform     I/We hereby consent to receiving inform     I am/We are also aware that for a sharing my/our masked Aadhaar con KRA and other Intermediaries with  Date:    Date:   March   Marc	g Information from CVL KRA through SMS/Email on the above registered number/Email address.  or Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to reard With readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with ith whom I have a business relationship for KYC purposes only.  Place:  Use Only  Certified Copies	ution Details						
I hereby consent to receiving inform     I/We hereby consent to receiving inform     I am/We are also aware that for a sharing my/our masked Aadhaar con KRA and other Intermediaries with  Date:    Date:   March   Marc	g Information from CVL KRA through SMS/Email on the above registered number/Email address.  or Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to reard With readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with ith whom I have a business relationship for KYC purposes only.  Place:  Use Only  Certified Copies  tion Carried Out by (Refer Instruction I) Institu	ution Details						
I hereby consent to receiving inform     I/We hereby consent to receiving inform     I am/We are also aware that for sharing my/our masked Aadhaar or KRA and other Intermediaries with  Date:     Do MM      S. Attestation / For Officee U  Documents Received  KYC Verification	g Information from CVL KRA through SMS/Email on the above registered number/Email address.  or Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to reard With readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with ith whom I have a business relationship for KYC purposes only.  Place:  Use Only  Certified Copies  tion Carried Out by (Refer Instruction I) Institu	ution Details						
I hereby consent to receiving inform     I/We hereby consent to receiving inform     I am/We are also aware that for isharing my/our masked Aadhaar con KRA and other Intermediaries with  Date:    Date	g Information from CVL KRA through SMS/Email on the above registered number/Email address. or Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to reard With readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with ith whom I have a business relationship for KYC purposes only.  Place:  Use Only  Certified Copies  tion Carried Out by (Refer Instruction I)  Name	ution Details						
I hereby consent to receiving inform I/We hereby consent to receiving in I am/We are also aware that for sharing my/our masked Aadhaar or KRA and other Intermediaries with  Date:  Documents Received  KYC Verification  Date  Emp. Name	g Information from CVL KRA through SMS/Email on the above registered number/Email address.  or Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to reard With readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with lith whom I have a business relationship for KYC purposes only.  Use Only  Certified Copies  tion Carried Out by (Refer Instruction I)  Institu  Name  Code	ution Details						
I hereby consent to receiving inform I/We hereby consent to receiving in I am/We are also aware that for isharing my/our masked Aadhaar con KRA and other Intermediaries with  Date:  Documents Received  KYC Verification  Date  Emp. Name  Emp. Code	g Information from CVL KRA through SMS/Email on the above registered number/Email address. or Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to reard With readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with ith whom I have a business relationship for KYC purposes only.    V   V   V   Place :	ution Details  ditution Stamp]						
I hereby consent to receiving inform I/We hereby consent to receiving inform I am/We are also aware that for isharing my/our masked Aadhaar cok KRA and other Intermediaries with  Date:  Documents Received  KYC Verification  Date  Emp. Name  Emp. Code  Emp. Designation	g Information from CVL KRA through SMS/Email on the above registered number/Email address. or Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to reard With readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with ith whom I have a business relationship for KYC purposes only.  Use Only  Certified Copies tion Carried Out by (Refer Instruction I)  Name  Code  Emp. Branch  [Employee Signature]							
I hereby consent to receiving inform I/We hereby consent to receiving inform I am/We are also aware that for isharing my/our masked Aadhaar cok KRA and other Intermediaries with  Date:  Documents Received  KYC Verification  Date  Emp. Name  Emp. Code  Emp. Designation	g Information from CVL KRA through SMS/Email on the above registered number/Email address. or Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to reard With readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with ith whom I have a business relationship for KYC purposes only.  Use Only  Certified Copies tion Carried Out by (Refer Instruction I)  Name  Code  Emp. Branch  [Employee Signature]	ditution Stamp]						
I hereby consent to receiving inform I/We hereby consent to receiving in I am/We are also aware that for a sharing my/our masked Aadhaar con KRA and other Intermediaries with  Date:  Documents Received  KYC Verification  Date  Emp. Name  Emp. Code  Emp. Designation  In-Person Verification	g Information from CVL KRA through SMS/Email on the above registered number/Email address.  or Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to reard With readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with lith whom I have a business relationship for KYC purposes only.  Use Only    Certified Copies	ditution Stamp]						
I hereby consent to receiving inform I/We hereby consent to receiving inform I am/We are also aware that for isharing my/our masked Aadhaar cok KRA and other Intermediaries with  Date:  Documents Received  KYC Verification  Date  Emp. Name  Emp. Code  Emp. Designation  In-Person Verification  Date	g Information from CVL KRA through SMS/Email on the above registered number/Email address. or Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to reard With readable QR code or my Aadhaar XML/Digliocker XML file, along with passcode and as applicable, with ith whom I have a business relationship for KYC purposes only.    V   V   V   Place :	ditution Stamp]						
I hereby consent to receiving inform I/We hereby consent to receiving inform I am/We are also aware that for isharing my/our masked Aadhaar cok KRA and other Intermediaries with  Date:  Documents Received  KYC Verification  Date  Emp. Name  Emp. Code  Emp. Designation  In-Person Verification  Date  Emp. Name	g Information from CVL KRA through SMS/Email on the above registered number/Email address. or Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to reard With readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with ith whom I have a business relationship for KYC purposes only.  Use Only  Certified Copies tion Carried Out by (Refer Instruction I)  Name  Code  Emp. Branch  Institution (IPV) Carried Out by (Refer Instruction J)  Institution (IPV) Carried Out by (Refer Instruction J)  Name  Code	ditution Stamp]						

For Individuals/Sole Proprietorship/Partnership/HUF

TRADING AND DEMAT ACCOUNT RELATED DETAILS									
I/We request you to open the following account(s) in my/our name as per the following details.  (Please tick only one of the following options)									
Trading Ac	count and CDSL Demat Account CDSL Demat Account only Trading Account only								
Type of Demat Account	Ordinary Resident NRI-Repatriable NRI-Non-Repatriable Margin Promoter HUF Others (please specify)								
Type of Trading Account	Ordinary Resident NRI-Repatriable NRI-Non-Repatriable Sole Proprietor Partnership HUF Others (please specify)								
	HOLDER DETAILS								
	r is made mandatory to register Power of Attorney in demat account.  The manual of the KYC Application Form.)  The manual of the KYC Application Form.								
Name of the	idiliber is provided in the KTC Application Form.)								
Trading A/C Holder									
Name of the First/Sole									
Holder (demat)									
PAN No.	UID No. Qualification								
OTHERS DETAILS									
Gross Annual	Income Range Below Rs 1 Lac 1-5 Lac 5-10 Lac								
Income Details	per annum 10-25 Lac 25 Lacs - 1 Crore > 1 Core								
(please specify)	Net-worth as on (*Net worth should not be older than 1 year)								
discount of the same	(*Net worth should not be older than I year)								
Occupation	Duiveta Castar Dublia Castar Doublia Castar Doublia Castar Desperant Carries Ducinas Desperant								
(please tick any one	Private Sector Public Sector Government Service Business Professional								
and give brief details)	Agriculturist Retired Housewife Student Others								
Please tick, if applicable	Politically Exposed Person (PEP)  Related to a Politically Exposed Person (PEP)								
D SESSAID HOL									
B. SECOND HOL	DER DETAILS								
Name of the Second									
Holder (demat)									
PAN No.	UID No.								
C. THIRD HOLDE	R DETAILS								
Name of the Third									
Holder (demat)									
PAN No.	UID No.								
D. GUARDIA	N DETAILS (In case the sole holder is a minor) Applicable for Demat Account only								
Name (Mr./Ms.)	Provide a copy of the PAN card and original for verification								
Relationship (if any)	Date of Birth* (of Minor)								
instancing (in diry)									
A.I.I. (6. I II.									
Address (Submit valid Proof of Address)									
,									
	PIN CODE:								

<sup>\*</sup>Provide attested copy of Birth Certificate.

# **MANDATORY**

Account Statement Requirement	:	Daily Quartely			ekly ni-Annua	lly	Fortnig	htly	]	_	lonthly				
Do you wish to rece	ive divide	end / interest di	rectly	in to yo	ur banl	c accoui	nt given	below	throu	ıgh E	CS ?		/ES	N	10
E. BANK DETAIL	LS FOR DE	MAT ACCOUNT	/ TRA	DING A		T ub-type	Savings	Account		Curren	t Account	. 🖂	Others		
Bank Name (Full name)					Bullik	ab type		- Account		Curren	t Account	· <u> </u>	Others		
, ,	Duilding Tour	er/Appartment/Palace/	Chamba	r/Mansion											
Dank Branch Address		Marg/Lane/Avenue/Ras		1/10101131011											
manner discribed	ity	<i>.</i>		State					Р	IN COE	DE:				$\top$
9-Digit Code Number of	the Bank and	branch appearing on tl	he MICR	cheque issi	ued by the	Bank (Sub	mit Valid Pr	oof)							
IFSC Number										Т					
In case of NRIs,						RBI App	oroval Date		D	M	M	Υ	Υ	Υ	Υ
RBI Referene No.*  *Submit PIS permission copy	ohtained fro	m hank													
		ONS FOR DEMA	ГАСС	TNUC											
I/We authorise you to rece	eive credits	automatically into m	y/our a	ccount								Yes			No.
Account to be operated th	rough Powe	er of Attorney (PoA)	(If yes, e	ensure SMS	S alert fac	ility is opt	ed.)					Yes			No
Download of Email ID to Is	ssuer / R & T	ΓAgent										Yes			١o
I/We authorise you to sen	ıd all commı	unications/statement	ts throu	gh the e-m	nail only*							Yes			No.
Address for communication	on/Corporat	e Benefits		=	•	nent Addre	ess ss/Foreign	Address	(Default	optio	n is Loca	l Addre	ss)		
in the KYC Application Form. # If, in future, the client wan G. DOCUMI  Copy of Tax Return		on any new segmen VIDENCE OF FIN Salary Certificate		AL DETAI		DERIVA	TIVE CLI		For De	rivat	ives Ti Oti		ease S	oecity	
H. PAST ACTIONS  Details of any action/procconstituent or its Partners,	/promoters/	whole time directors										ars			
I. DEPOSITORY A	_														
Depository Participant Name	D.	epository / Name (NSDL / CDSL)			neficiary Name			DP II	)				eficiar BO ID		
r articipant riame		(11002) 0002)			varre							(I	BO 1D		
J. DEALING T	HROUGH	SUB-BROKERS A	AND C	THER ST	госк в	ROKERS									
If client is dealing through the sub-	Sub-brok	er's Name :													
broker, provide the following	NSE SEBI Re	gistration number													
	BSE SEBI Re	gistration number													
	Registered Office addre	ess													
	Phone			Fax				Website							
Whether dealing with any other stock broker	Name of sto	ock broker		-	•			Name of if any	Sub-Brok	er,					
/sub-broker (if case dealing with multiple	Client Code							Exchange							
stock brokers/	Details of d	isputes / dues pending fr	om / to s	uch stock bro	oker/sub-br	oker									

NOMINATION DETAILS								
Nomination Regis	Nomination Registration No. : Dated :							
	I/We the sole holder / joint holders / Guardian (in case of minor) hereby declare that : I/We do not with to nominate any one for this demat account							
	I/We <b>nominate</b> the following persons who is/are entitled the receive security balances lying in my/our account, particulars where of are given below, in the event of my / our death.							
	Pho	oto : Nominee 1		Photo : Nominee 2	F	Photo : Nominee 3		
Nomination Details		Nominee 1		Nominee 2	·	Nominee 3		
Nominee Name :		Nonlinee 1		Nominee 2		Nominee 5		
*First Name :								
Middle Name :								
*Last Name :								
				<u> </u>		_		
Nomination Details		Nominee 1		Nominee 2		Nominee 3		
Address Line 1								
Address Line 2								
City								
State					_			
Pin					-			
Mobile, Telephone No.								
Fax No.								
PAN No.								
UID								
Email ID								
*Relationship with								
the BO:								
Date of birth (mandotory								
if Nominee is a minor)								
DD-MM-YYYY  Nominee Identification de	tails							
[Please tick any one of follo								
and provide details of same								
☐ Photograph & Signature☐ Aadhaar ☐ Saving Bank								
account no.  Proof of								
Demat Account ID								

Sole / First Holder
Signature



X Third Holder
Signature

# **MANDATORY**

Name of the guardian of Nominee (if nominee is a minor) *First Name :			
Middle Name :			
*Last Name :			
Address of the guardian of nominee :			
City:			
State			
Country:			
PIN			
Age			
Telephone No.			
Fax No.			
Email ID			
*Relationship of the			
Guardian with the			
nominee			
*Percentage of allocation of securities			
*Residual securities (please tick any one nominee.			
If tick not marked			
default will be first nominee) :			
Guardian Identification details [Please tick any one of following and provide details of same]			
□ Photograph & Signature     □ Pan    □ Aadhaar Saving Bank     account no.    □ Proof of Identity     □ Demat Account ID			
Note: Residual securities in	case of multiple nominees, please choo	ose any one nominee who will be credited	with residual securities remaining after

Note: Residual securities in case of multiple nominees, please choose any one nominee who will be credited with residual securities remaining after distribution of securities as per percentage of allocation. If you fail to choose one such nominee, then the first nominee will be marked as nominee entitled for residual shares, if any.

\* Marked is Mandatory field

<b>≪</b> X _	Sole / First Holder Signature	Second Holde Signature	er	Third Holder Signature	

K. INTRODU	CER DE	TAILS (optional)									
Name of the Introduce	er	(Surname)			(Name)			(Mid	dle Name)		
Status of the Introduce	er	Sub-broker		Remiser		authorized Person					
		Existing Clie t		Oth	ners, please specify						
Address of the											
Introducer											
Phone Number				Signature	e of the Introducer						
L. ADDITION	AI DET	AllS									
Whether you wish											
Contract Note or Pl				Electronic C	ontact Note		Physica	l Contac	ct Note		
(ECN) please specif				<b>-</b>							
Whether you wish to a			I -	Yes		Г	No				
trading/wireless techn			-								
Number of years of Inv			-								
In Case of sole propried indicate the name and											
SMS and email alerts t											
exchanges. (Please tick		•		SMS		Email			Both	า	
If an existing mobile no			_								
used, please specify th			-	Self	Spouse	Depen	dent Paren	t	Dep	endent Ch	ild
the email address/mol			PAN								
Any other information											
N TRADING	DDEE	EDENCES									
N. TRADING			1		l Di		CC + 1				
segment no	t chos	relevant boxes sen by you.	where you	i wish to t	rade. Please	strike o	ff the				
Exchanges	NSE	& BSE									
All	Cash	/		F&O			Curre	ncv			
Segments	Mut	•						,			
3	Fund										
	Ø			<u> </u>			Ø				
	Z			~							
If you do not	t with	to trade in any	of segments	s / Mutua	l Fund Inlease	metion	here				
ii you do no	C VVICII	to trade in any	or segment.	s / Iviataa	i i alia, picasc	metion	TICIC				
O. DEMAT P	PREFER										
		Name of H	older(s)					Signatu	ure(s)		
Sole/First Holder /	' Guardia	an									
(in case of minor) (Mr. / Mrs.)						7 B					
					ļ	1st Holde	er Signa	ture			
Second Holder (M	r. / Mrs.)					~ &		-			
							2nd Hold	er Signa	ature		
T1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	/ D. 0					. 134	,				
Third Holder (Mr. / Mrs.)						~ &	3rd Holde	ar Siana	turo		

## T. DECLARATION

- 1. The rules and regulations of the Depository and Depository Participants/Capital Market pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or mis representing, I am/we are aware that I/we may be held liable for it. In case of non-resident account, I/we also declare that I/we have complied and will continue to comply with FEMA regulations.
- 2. I/we understand and acknowledge the fact that I/we am/are at liberty to withdraw all or any of the information provided by me/us and the same shall be made by me/us in writing. I/we confirm and accept that such withdrawal shall entitle you to withdraw all or any of the services provided to me/us under the account opening documentation.
- 3. I/We acknowledge the receipt of copy of the document, "Rights and Obligations of the Beneficial Owner and Depository Participant". client registration form, rights and obligations documents, Policy & Procedures Tariff sheet, all Non mandatory documents, guidance documents along with the supporting documents.
- 4. I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
- 5. I/Wefurther confirm having read and understood the contents of the" Rights and Obligations of Stock Brokers, Sub-Brokers and Clients" as prescribed by SEBI and Stock Exchanges and the "Risk Disclosure Document for Capital Market and Derivatives Segments". I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for information on stockbroker's designated website, if any.
- 6. \*I/We acknowledge the receipt of copy of the document, •"Additional Rights and Obligations for Broking and Other Products and Services." I/We understand and acknowledge that this is voluntary (non-mandatory) document for availing value added products and services as provided by Manashvi Securities Ltd. and unconditionally agree to abide by the terms and conditions mentioned therein. I agree that I will receive an electronic copy/ physical copy of the said document after I am enrolled as a client of Manashvi Securities Ltd.
- 7. I/We also confirm the details submitted by me/us are true, complete and correct to the best of my/our knowledge. In case the changes are not informed I/we will be solely responsible for any loss incurred due to the same.

<b>≪</b> X	∠×	≪x
Sole / First Holder	Second Holder	Third Holder
Signature	Signature	Signature
Place :		(Full signature required)
Date:		Signature of Trading Account Holder

### INSTRUCTIONS / CHECK LIST

 $1. \ Additional \ documents \ in \ case \ of \ trading \ in \ derivatives \ segments - illustrative \ list: \ Copy \ of \ ITR \ Acknowledgment \ Copy \ of \ Annual \ Accounts$ 

	,
In case of salary income - Salary Slip, Copy of Form 16.	Net worth certificate
Copy of demat account holding statement	Bank account statement for last 6 months.
Any other relevant documents substantiating ownership of assets.	Self declaration with relevant supporting documents.

- \*In respect of other clients, documents as per risk management policy of the stock broker need to be provided by the client from time to time.
- 2. Copy of cancelled cheque leaf I pass book /bank statement specifying name of the constituent, MICR Codeorland IFSC Code of the bank should be submitted.
- 3. Demat master or recent holding statement issued by DP bearing name of the client.
- 4. For individuals:
- a. Stock broker has an option of doing 'in-person' verification through web camera at the branch office of the stock broker / sub-broker's office.
- b. In case of non-resident clients, employees at the stock broker's local office, overseas can do in-person' verification. Further, considering the infeasibility of carrying out 'In-person' verification of the non-resident clients by the stock broker staff, attestation of KYC documents by Notary Public, Court, Magistrate, Judge, Local Banker, Indian Embassy *I* Consulate General in the country where the client resides may be permitted.
- 5. For receiving Statement of Account in electronic form:
- a. Client must ensure the confidentiality of the password of the email account.
- b. Client must promptly inform the Participant if the email address has changed.
- c. Client may opt to terminate this facility by giving 10 days prior notice. Similarly, Participant may also terminate this facility by giving 10 days prior notice.
- 6. To register for easi, please visit our website www.cdslindia.com Easi allows a BO to view his ISIN balances, transactions and value of the portfolio online.

	FOR OFFICE USE ONLY	
UCC Code allotted to the Client		
	Documents verified with Originals	Client Interviewed by
Name of the Employee		
Employee Code		
Designation of the employee		
Date		
Signature		
Place		
documents. I/We have also make given/sent him acopy of sheetand all the non-mandator	made the client aware of 'Policy and Procedur ade the client aware of 'Rights and Obligations' de all the KYC documents. IIWe undertake that any y documents would be duly intimated to the client DD wouldbe made available on my/ourwebsite, if and	ocument (s), RDD and Guidance Note.IIWe change in the 'Policy and Procedures', tariff ts.IIWe also undertake that any change in the
Signature of the Branch Manager		
Date :		Seal/Stamp of MANASHVI SECURITIES LTD.

In case of Non-Individuals, additional documents to be obtained from non-individuals, over & above the POI & POA, as mentioned below:

Types of entity	Documentary requirements
Corporate	<ul> <li>Copy of the balance sheets for the last 2 financial years (to be submitted every year).</li> <li>Copy of latest share holding pattern including list of all those holding control either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary / Whole time director/MD (to be submitted every year).</li> <li>Photograph, POI. POA, PAN and DIN numbers of whole time directors / two directors Incharge of day to day operations.</li> <li>Photograph, POI, POA, PAN of individual promoters holding control either directly or indirectly.</li> <li>Copies of the Memorandum and Articles of Association and certificate of incorporation.</li> <li>Copy of the Board Resolution for investment in securities market.</li> <li>Authorised signatories list with specimen signatures.</li> </ul>
Partnership firm	<ul> <li>Copy of the balance sheets for the last 2 financial years (to be submitted every year)</li> <li>Certificate of registration (for registered partnership firms only).</li> <li>Copy of partnership deed.</li> <li>Authorised signatories list with specimen signatures.</li> <li>Photograph, POI, POA, PAN of Partners.</li> </ul>
Trust	<ul> <li>Copy of the balance sheets for the last 2 financial years (to be submitted every year)</li> <li>Certificate of registration (for registered trust only).</li> <li>Copy of Trust deed.</li> <li>List of trustees certified by managing trustees/CA.</li> <li>Photograph, POI, POA, PAN of Trustees.</li> </ul>
HUF	<ul> <li>PAN of HUF.</li> <li>Deed of declaration of HUF/ List of coparceners.</li> <li>Bank pass-book/bank statement in the name of HUF.</li> <li>Photograph, POI. POA, PAN of Karta.</li> </ul>
Unincorporated association or a body of individuals	<ul> <li>Proof of Existence/Constitution document.</li> <li>Resolution of the managing body &amp; Power of Attorney granted to transact business on its behalf.</li> <li>Authorized signatories list with specimen signatures.</li> </ul>
Banks/Institutional Investors	<ul> <li>Copy of the constitution/registration or annual report/balance sheet for the last 2 financial years.</li> <li>Authorized signatories list with specimen signatures.</li> </ul>
Foreign Institutional Investors (FII)	Copy of SEBI registration certificate.     Authorized signatories list with specimen signatures.
Army / Government Bodies	<ul> <li>Self-certification on letterhead.</li> <li>Authorized signatories list with specimen signatures.</li> </ul>
Registered Society	<ul> <li>Copy of Registration Certificate under Societies Registration Act.</li> <li>List of Managing Committee members.</li> <li>Committee with resolution for persons authorised to act as authorised signatories with specimen signatures.</li> <li>True copy of Society Rules and Bye Laws certified by the Chairman / Secretary.</li> </ul>

#### **POLICIES & PROCEDURE**

In order to ensure proper compliance with various Notices/Circulars/ Guidelines issued by SEBI / Exchanges and uniformity the **MANASHVI SECURITIES LTD.** has prescribed the Policies & Procedure as under for guidance of all employees in HO/all Branches which is to be strictly followed by all for dealings with clients.

## [1] Refusal of orders for penny stocks

MSL does not encourage trading in penny stocks or securities falling in T2T or Z group of BSE and NSE. Member reserves its right to refuse orders in such securities from the clients desiring to deal in such shares, stocks, securities. Under exceptional circumstances and considering merits on case to case basis, trading in penny stocks T2T/Z category would be allowed to clients on delivery basis subject to stringent verifications of client holdings, intentions and bonafide reasons given by the intending clients.

There is no formal list of the "Penny Stocks" issued by the Exchanges/SEBI. However there are shares of certain companies which are called/Known as "Penny Stocks" in the Market. These shares may be part of the list of "illquid stocks" issued by the Exchanges from time to time but not necessarily so in all cases. As dealing in these stocks (in physical form or demat form) is risky. The Company (as a stock broker) may declined to carry out Buy/Sale Order/s of any client for so called "Penny Stock."

## [2] Setting up of Client's Exposure limits

The Company will fix the limit in value for each and every Scrip for which Buy/Sell Order's of any particular Client will be executed in normal course based on "Income level" for the year confirmed by the Client / Sub broker / Remiser and other considerations. The Company will not disclose the rational/logic of their decision (about fixation of the monetary limit). However if requested by the Client for any specific transaction/s to be carried out as a special case then it may be considered on merit. The company will set up client's exposure limits on the basis of their respective ledger balance collateral provided, security deposit etc across all the exchange for F & O Purpose.

## [3] Applicable Brokerage Rate

At present SEBI permits maximum brokerage @2.5% of contract price. The Company charge brokerage to their Clients at the rate/s below this maximum limit. Each client will be intimated the rate at which brokerage will be charged to him / her / it. This rate will be revised by the Company based on volume of the Client's business / market conditions / other relevant factors. Any change in the rate of brokerage will be intimated to the Client.

# 4) Imposition of Penalty/Dealyed Payment Charges to Clients, specifying the rate and the period (This must not result in funding by the broker in contravention of the applicable laws):

As per regulation the client should pay his pay in obligation of funds on or before one day for the Exchange pay in day. We make the policy of charging penalty /delayed payment charges to discourage client in making late/Delayed payment. Clients will be liable to pay late pay-in/delayed payment charges not exceeding 2% per month for not making payment of their pay-in obligation on time as per the exchange requirement or net ledger debit balance as applicable. The client agrees that MANASHVI SECURITIES LTD. may impose fines/penalties for any orders / trades/ deals/actions of the client which are contraty to this agreement / rules / regulation / bye laws of the exchange or any other law for the time being in force , at such rates and in such form as it may deem fit. Further where MANASHVI SECURITIES LTD. has to pay fine or bear any punishment from any authority in connection with/as a consequence of / in relation to any of the orders/trades/deals/actions of the client, the same shall be borne by the client.

All Clients other than those who have agreed to have a "Running Account" with the Company will have to make payments due to the Company on due dates so in all cases if payment is not made to the Company on due date after considering three working days as Grace period company can sell clients outstanding to clear its debit. The company will make payments to all clients other than those who have agreed to have "Running Account" on due date.

The Company will make payments to all clients other thane those who have agreed to have "Running Account" on due date.





# [5] The right to sell client's securities or close client's position, without giving notice to the client, on account of non payment of client's dues.

MANASHVI SECURITIES LTD., shall be entitled to liquidate client's securities; both unpaid securities as well as collaterals deposited, benefit / credit for the same only on the realization of clear proceeds in MANASHVI SECURITIES LTD. bank account. Where the margin/security is made available by way of securities or any other property, MANASHVI SECURITIES LTD. is empowered to decline its acceptance as. margin/security and/or accept it as such reduced value as MANASHVI SECURITIES LTD. may deem fit by applying haircuts or by valuing it by marking it to market. The stock broker has the sole discretion to decide referred stipulated margin percentage depending upon the market conditions. The above action is at the sole discretion of MANASHVI SECURITIES LTD. and may vary from client to client. It shall not be under any obligations to undertake the exercise compulsorily. MANASHVI SECURITIES LTD. shall therefore not be under any obligation to compensate or provide reasons of any omission or delay on its part to sell client's securities or close open positions of the client.

## [6) Shortages in obligations arising out of internal netting of trades

In case of BSE, shortages arising out of internal netting of trades are given for self-auction to the exchange through exchange mechanisms.

In case of NSE, shortage arising out of internal netting of trades client shortage are closed out by the company as per guidelines issued from time to time by the exchange.

In case of shortages due to internal netting of trades, if the Company is required to pay any penalty to the exchange/s it will be charged to the client concerned.

Shortage: In case of purchage of securities by the client, at times the stock broker may be unable to deliver the securities to said purchase on the payout day due to non-receipt of the securities from the stock exchange's or in case of non-receipt of the said securities from another client of the stock broker who has sold the securities againts the said purchase transaction. In cases of short delivery from the exchange the securities shall be delivered to the purchaser on the deliveries received from the exchange and in cases of short delivery due to non-receipt of the said securities from another client of the stock broker, the securities shall be delivered to the purchaser as per the policy of the exchange.

# [7] Conditions under which a client may not be allowed to take further position or broker may close the existing position of a client.

It is to be noted that under following circumstances any client will not be allowed to take further position or the Company may close that particular Client's position (i) if the particular Client is a Defaulter of amount due to the Company (ii) Value of the Collateral is not sufficient to meet the Losses incurred by the Client (iii) If according to the Company Market Conditions has turned or likely to turn unfavourable based on the information available or its is the view of the matter taken by the Company. (iv) that particular Client is barred from Trading on the Exchange/s by SEBI / Exchange/s. (v) Political/financial instability in the country or otherwise.

## [8] Temporarily suspending or closing a client's account at the client's request

It is to be noted that under following circumstances any particular Client's Account may be Suspended / Closed (i) On receipt of Written Instruction from that particular Client (ii) that particular Client is barred from Trading on the Exchange/s by SEBI / Exchange/s (iii) The particular Client who has defaulted in making payment on due date to the Company (iv) on receipt of any adverse information by the Company about the particular Client.

#### [9] De-Registering a client.

It is to be noted that any particular Clients who is/are covered under any of the following category will deregistered at our discretion.

(i) that particular Client/s is/are barred from Trading on the Exchange/s by SEBI / Exchange/s (ii) The particular Client who has defaulted in making payment on due date to the Company (iii) on receipt of any adverse information about the particular Client (iv) The Client NOT trading through the Company continuously for more than 12 months. (v) The death of the client.

#### [10] Treatment of Inactive Accounts

Client having no trades during last financial year (excluding those who have registered during last financial year) will be treated as inactive clients and their status will be marked accordingly in to back office system after settling dues and returning collaterals if any. Re activation of such clients will be done only after due diligence by concerned authorities.

I/We have read, understood the above and state that I will strictly abide by the condition laid in this policy.

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Sole / First Holder	Second Holder	Third Holder	(14)
Signature	Signature	Signature	

# MANASHVI SECURITIES LTD.

#### $Terms \, and \, Conditions - \, Cum - \, Registration \, / \, Modification \, Form \, for \, receiving \, SMS \, Alerts \, from \, CDSL \, and \, CD$

#### Definitions:

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

- 1. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, PJ. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
- 2. 'DP' means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
- 3. 'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
- 4. SMS means "Short Messaging Service".
- 5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
- 6. "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
- 7. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

#### Availability:

- 1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those account holders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
- $2. \, The \, service \, is \, currently \, available \, to \, the \, Bos \, who \, are \, residing \, in \, India.$
- 3. The alerts will be provided to the Bos only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
- 4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e, to the mobile number as submitted at the time of registration / modification.
- 5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

#### **Receiving Alerts:**

- 1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
- 2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e, unable to receive the alerts then the BO may not get /get after delay any alerts sent during such period.
- 3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
- 4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and / or inaccuracy. In case the BO observes any error In the information provided in the alert, the BO shall inform the depository and/or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred / suffered by the BO on account of opting to avail SMS alerts facility.
- 5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
- 6. The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account/unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at complaints@cdslindia.com. The BO is advised not to inform the service provider about any such unauthorized debit to / transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.
- 7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
- 8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.
- 9. If the BO finds that the information such as mobile number etc., has been changed with out proper authorization, the BO should immediately inform the DP in writing.

#### Fees:

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

#### Disclaimer:

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warranty the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and / or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use / misuse of such information by any third person.

#### Liability and Indemnity:

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

#### Amendments:

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

#### Governing law and Jurisdiction:

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.

I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. I / We consent to CDSL providing to the service provider such information pertaining to account / transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/ we further undertake to pay fee / charges as may be levied by the depository from time to time.

I/We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

I/We am / are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

I/We provide the following information for the purpose of **REGISTRATION / MODIFICATION** (please cancel out what is not applicable).

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Place :

# **TARIFF SHEET CASH SEGMENT** Brokerage Slab Slab % Minimum Paise One Side / Both Side **Delivery Based** Daily Square up **DERIVATIVES SEGMENT** Brokerage Slab Minimum Paise / Per Lot One Side / Both Side Slab % **Future** Option MAXIMUM BROKERAGE - 2.5% of Contract price. Brokerage as mutually agreed. This may change from time to time as mutually agreed. Service Tax will be charged at actuals as per Central Govt. rates which may be charged time to time

- STT will be charged at actuals as per Central Govt / State Govt. rates which may be changed time to time.
- Stamp Duty will be charged at actuals as per Central Govt / State Govt. rates which may be changed time to time.
- Transaction charges as applicable by the relevant exchange Plus service tax on Transaction Charges as applicable.
- SEBI turnover Fees at actuals as per SEBI Rates which may be charged time to time.

Please Specify Anyo Mobile No. & Email ID	one
1. Self	
2. Spouse	
3. Dependent Children	
4. Dependent Parent	

<b>X</b>	
	Sole / First Holder
	Signature

$\mathbb{Z} X$	
	Second Holder
	Signature

X Third Holder
Signature

# **MANASHVI SECURITIES LIMITED**

DP ID: 47800

DP SEBI REG NO. IN-DP-CDSL-215-2003

#### **SCHEDULE OF CHARGES: DEMAT**

		Scheme A	Scheme B	Scheme C	Scheme D	Scheme E
Stamp Charges		300/-	300/-	300/-	300/-	300/-
Deposit		Nil	Rs.2000/- Deposit would be taken as of relevant year in case of closure of the account.	Rs 4000/- Deposit – Minimum Lockin period 2 Years (If close before 2 years, Year wise AMC applicable as per prorate)	Free	Rs. 900/- Non Refundable
AMC		Rs. 350/-	Nil	Nil	Free	Nil
AMC for Corporate A/c.		Rs. 850/-	N.A	N.A	N.A.	N.A.
	POA Transaction (on & EP)	Rs.12/-	Rs.15/-	Rs.12/-	Rs.25/-	Rs.17/-
Transaction Charges	Off market	0.010 % of transaction value. Subject to minimum Rs. 15/- and maximum Rs 25/-	0.010 % of transaction value. Subject to minimum Rs. 15/- and maximum Rs 25/-	0.010 % of transaction value. Subject to minimum Rs. 15/- and maximum Rs 25/-	Rs.25/-	0.010 % of transaction value. Subject to minimum Rs. 17/- and maximum Rs 25/
(Per ISIN)	Inter DP	Rs.25/-	Rs.25/-	Rs.25/-	Rs 25/-	Rs 25/-
Demat Charges (Per DRF)		Rs.75/-	Rs.75/-	Rs.75/-	Rs.75/-	Rs.75/-
Remat Charges (Per RRF)		Rs 15/- + Courier Charges of Rs 35/-	Rs 15/- + Courier Charges of Rs 35/-	Rs 15/- + Courier Charges of Rs 35/-	Rs 15/- + Courier Charges of Rs 35/-	Rs 15/- + Courier Charges of Rs 35/-
Pledge Services (Per ISIN) Creating/Closure/ Invocation)		Rs 50/- Per request	Rs 50/- Per request	Rs 50/- Per request	Rs 50/- Per request	Rs 50/- Per request
Pay In Day Sell/Failed Instruction (Per ISIN)		Rs.10/-	Rs.10/-	Rs.10/-	Rs.10/-	Rs.10/-
Modification Charges		Rs 25/- (Address & Bank details change)	Rs 25/- (Address & Bank details change)	Rs 25/- (Address & Bank details change)	Rs 25/- (Address & Bank details change)	Rs 25/- (Address & Bank details change)
Extra Statement Charges		Rs 15/- Per statement	Rs 15/- Per statement	Rs 15/- Per statement	Rs 15/- Per statement	Rs 15/- Per statement
Margin Pledge		Rs 20/-	Rs 20/-	Rs 20/-	Rs 25/-	Rs 20/-

\*For Scheme B –AMC Would be taken as of last year in case of closure of the account.

#### PLEASE NOTE:

- (1) All Transaction charges are variable.
- (2) All Charges mentioned above are inclusive of CDSL charges.
- (3) GST is not included in above charges.
- (4) DIS Lost/Misplace charge per request Rs.100/-

Signature

- (5) The above charges are subject to change with 30 days notice. However, in case of revision of charges by CDSL a shorter notice may be given
- (6) Transaction Charges once paid will not be refunded.
- (7) It is purely Discretion of company regarding shifting of above schemes.
- (8) AMC will levy on pro-rata basis for the first financial year. There after AMC shall be levied up-front in April on all accounts outstanding as at the end of March every-year. AMC will be refunded on pro-rata basis if account close during financial year
- (9) In addition to stamp paper charges and AMC for the first year payable by new clients in advance payment of Rs. 350/ shall be collected for adjustment against future transaction charge for existing client and new clients.
- (10) The depository service may be temporarily disconnected if cheque given for availing DP services is returned unpaid. Intimation of discontinuation of the DP services shall be in accordance with the rules specified by CDSL. The DP services shall be resumed after recovery of the returned cheque plus Rs. 100/- as additional charges.
- (11) All pledging service (creation, closure and invocation) shall be separately charged at the time of each transaction.
- (12) Charges quoted above are for the services listed. Any services not quoted above will be charged separately
- (13) I/We understand that in case of any non compliance of the Byelaws, Rules and regulations as lay down by SEBI/Depositories, and for any other matters that may be decided by you from time to time, you may charge penalty on me. Such penalty amount shall be directly debit to my Trading Account or otherwise.

decided by you from time to time, yo	d may charge penalty on me.	Such penalty amount shall b	e unectly debit to my mading	S ACCOUNT OF OUR	iei wise.	
Please select Scheme (any one)	Scheme A	Scheme B	Scheme C	Sche	eme D	Scheme E
I/We have read and understood th	ne charges and condition as al	pove and agree to abide by t	he same.			
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Sola / First Holder	<del> </del>	Second Ho	lder	~ <u> </u>	Third Holde	r

Signature

Signature

# MANASHVI SECURITIES LTD.

Date :	
То,	
(Client name)	
Dear Sir,	
Sub.: Disclosure of Proprieta	ary Trading
The securities & exchange board of India (SEBI) vide circular no. SEB 2003, has advised the trading member to inform / disclose to their proprietary trading as well.	
Our company is engaged mainly on cliental business. However, we wis	
You are requested to note the aforesaid disclosure for the future deali	ing with us.
Kindly accept and acknowledge.	
Thanking You,	
Your Faithfully,	
For MANASHVI SECURITIES LTD.	
Authorised signature	
·	Holder Third Holder Signature

# **Non-Mandatory Document**

Place:

# Annexure Securities Trading using Wireless Technology

	occurred mading	daning whicheas recinion	OBY	
To Manashvi Securities Ltd.			Date :	
524 P. J. Towers,		- 1	( )	
Dalal Street, Fort,		Exchange	e(s):	
Mumbai 400 -001				
Dear Sir,				
Sub: Securities trading using w	ireless technology	L		
I/We			;	am/are registered
as your client with Client Code	No.	and have execut		
Agreement and also the agreem segment, Futures & Options seg (NSE) and Capital Market segme	nent for Internet bag gment, Currency D	ased trading for the purp Perivatives segment of N	ose of trading in t	he Capital Market
I/We am/are interested in carry devices such as mobile phone, la		-		hich shall include
I / We understand that the term securities trading through use possible risks, responsibilities ar	of wireless techno	ology. I / We am /are ma	ade aware by you	regarding all the
I/We understand that you shall page where detailed informatio technology.	•	•		
I/We understand that you sha	all send the Orde			existing <b>E-mail id</b> execution of order
/ trade on the NEAT system, as would be deemed to be a valid of and trade confirmation shall also use of wireless technology.	delivery of such inf	formation by you. The afo	oresaid informatio	on regarding order
I/We am / are aware that aut securities trading using wireles password is not revealed to any	s technology thro	-	•	•
I/We therefore request you to en We understand that if any charg				
We give our consent to continue	with the trading t	erminal and debit of appl	licable charges in c	our client code.
Thanking you, Yours faithfully,				
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Name:				

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#### **POWER OF ATTORNEY**

(Name of the BO),
(Name of the BO),
(Name of the BO),
X
(BO-ID) with Central Depository Services (india 7800. Regd. Office 524, P.J. Tower Dalal Street, Fort, Mumbai-400 023 Sector-23, Gandhinagar-382024.

and Whereas I am an investor engaged in buying and selling of securities through MANASHVI SECURITIES LTD-, a member of National Stock Exchange of India Ltd. and a member of Bombay Stock Exchange of India Ltd., bearing SEBI registration No. INZ000225733

and whereas due to exigency and paucity of time, I / We am / are desirous of appointing an agent / attorney to operate the aforesaid beneficiary account on my / our behalf for a limited purpose in the manner hereinafter appearing.

NOW KNOW WE ALL AND THESE PRESENTS WITNESS THAT THE ABOVE NAMED DO HEREBY NOMINATE, CONSTITUTE / AND APPOINT MANASHVI SECURITIES LTD as my / our true and lawful attorney (hereinafter referred to as the attorney) for me / us and on my / our behalf and in my / our name to do instruct the aforesaid Depository Participant as under.

i. to transfer securities held in my/our aforementioned beneficial owner account(s) or any other account informed by me in writing to the stock broker from time to time to the demat account nos. as mentioned hereunder of the stock broker/exchange maintained for the purpose of settlement of trades and margin obligations arising out of traders executed by me/us on any recognized stock exchange through the stock broker. However, the said power will be restricted to only transfer of securities to the Clearing Member ID allotted to the stock broker by any existing or future exchange that the stock broker has joined / will join as a member or to any demat accounts linked to the said Clearing Member ID provided that I / we have entered in to client registration with the stock broker for such exchanges.

Details of Demat Account of MANASHVI SECURITIES LTD.

Description of Demat Account	Demat Account No.
BSE : CM Principal A/c - CDSL	12047800 00002804
BSE : CM Early Pay-In A/c CDSL	1100001000015736
BSE : CM Pool A/c - NSDL	IN001019 10011906
NSE : CM Pool A/c - CDSL	12047800 00137963
NSE : CM Early Pay-In A/c CDSL	1100001100019927
NSE : CM Pool A/c - NSDL	IN00101910011826
Client Unpaid Securities Account	1204780000179987
Client Margin Pledge Account	12047800 00187884
NSESLB CM Pool A/C- CDS L	12047800 00191151
Client Unpaid Securities Account - NSDL	IN001019 10011834
NSE SLB CM EARLY PAYIN A/C - CDSL	1100002300000752

ii.	To pledge the securities in favour of the stock broker for the limited purpose of meeting my/our margin requirements in
	connection with the trades executed by me/us on any recognized stock exchange through the stock broker.

Sole / First Holder
Signature

X \_\_\_\_\_\_Second Holder
 Signature

≪X

Third Holder Signature



	iii. to return to me/us, the	securities or funds thay may hav	ve been received by the stock broker erroneously or
those se	curities or funds that the stock br	oker was not entitled to receive	from me/us;
iv.			ell positions taken with average rates to me/us by way cument to be disseminated as specified by SEBI from
V.			(shares as well as debentures), rights, offer of shares, /electronic instructions given by me/us to the stock
	atify the infrastructure given by t specified here in.	he aforesaid stock broker to the	e depository participant named here in above in the
(without		t the said revocation shall be effe	this Power of Attorney shall continue until it is revoked ective from the date on which the revocation notice is
Signed, s By the w	ESS WHERE IF I, the above name day of sealed and delivered) ithin named Beneficial Owner	Two Thousand	has hereunto set my hands this
2			
3			
IN THE	E PRESENCE OF	) )	
	(Name & Address of Witness)		(Signature of Witness)
	MANASHVI SECURITIES LTD. te: 524, P.J. Tower Dalal Street, Fort, Mum	nbai-400 023	
For, MANA	SHVI SECURITIES LTD.		
	Director		

≪x \_

Second Holder

Signature

Sole / First Holder

Signature

(22)

**≪** X \_

Third Holder

Signature

# **MANASHVI SECURITIES LTD.**

Dear	Sir,	
l/we		
Have	BO A/c No.	1 2 0 4 7 8 0 0
and <sup>-</sup>	Trading code No.	
		ur dealings with you as your client. We authorise to send digitally signed contract notes / Bill /Let/demat holding at my / our following email-id.
		athenticates by means of a digital signature as specified in the information technology act 2000 $\&$ ny of my / our self created email id mentions below :
		ent in clause 3 of the agreement annexure C to the by laws of (CDSL). I/we confirm having optor of accounts pertaining to the above mentioned BO account in electronic mode.
furt	her hereby conser	nt to the following :
.)	It is being inforr statement.	med that non-receipt of bounced mail shall amount to deliver of contract notes / documents / do
2)		hat dispatch of documents to me / us constitute full and absolute discharge of your obligation. ne you send the said documents to my email ID this may treat the same as received by me/us.
3)		ny Query I/we shall intimate you with in reasonable time to your designated e
<b>!</b> )	In case of any ch	hanges in my /our said email-id I/we undertake to intimate the same to you in writing.
5)		n or not accessing the contract notes or account statement of regular basis from my/our part sha isputing the contract not at any time.
[	Email ID 4	
	1	
	2	
	3	

23

MANASHVI SECURITIES LTD.
Date :
DearSir
Consolidated Letter of Authority
I/We have been regularly trading and investing, or plan to do so, with you at The Stock Exchange Mumbai (BSE) &Nation Stock Exchange of India Ltd. (BSE Cash / NSE Cash &NSE F&O Segment) To Facilities ease of operations, I/We request ar authorize you as under.
1.My/our order for purchase/sale of shares and securities will be given telephonic or orally dealing my/our visit to your office
2.I/We hereby authorise you not to provide me/us Order confirmation / Modification / Cancellation Slips and Trac Confirmation Slips to avoid unnecessary Paperwork. I/We shall get the required details from contract note issued by you.
3. I/We agree to collect the contract notes/bills/account statements and other relevant documents for the deals execute and in case of non-collection, you may dispatch the same through ordinary post, courier or through any other mode at the address provided by me/us and it shall be deemed to be effective delivery of the same to me/us.
4. I/We hereby authorise you to undertake any transfer inter-se of funds, securities, debits &credits available betwee various segments. i.e. BSE capital market and futures &options / derivatives segments.
5. I/We hereby authorize you to maintain my/our account, both funds &securities, with you on running account basis ar request you to consider the balances in my/our running funds and securities account with you for the purpose of margins any other obligations due to you. In view of the same it would be proper to release the funds and securities due to me/us of my/our specific request, either written or oral
5a. I/We reserve the right to revoke authorization at any time given to you asper paragraph 5 above to maintain Funds ar Securities, Mutual and Current Running Account basis by giving a written request for revocation.
6. I/we agree and authorise you to debit charges for depository services and any other incidental charges to my / our BS ledger account.
7. I/We hereby authorise you to liquidate / close out / sell all or any of my/our positions of share dealing with you for not payment of margins or other outstanding debts due to us. I/We agree to bear any and all losses and financial charges of account of such liquidation /close out.
8. I/We agree that you may refuse to execute any particular transaction without assigning any reason therefore.
9. I/We agree not to hold you liable or responsible for delay or default in performance of your obligations due to contingencies beyond your control such as fire, flood, civil commotion, earthquake, riots, war, Strikes, failure of system failure or internet links, Government/regulator actions orany such other contingencies which may be beyond our control.
10. My/our preference for actual settlement of funds and securities is at least.
11. The client agrees & authorizes the Stockbroker to instruct the concerned depository participant to block any security so from the designated security account against his order or the order of his power of attorney holder to sell securities. If the order gets executed either fully or partially then the securities sold would be transferred to the stockbroker's account. The client further agrees and confirms that the client will not pledge or otherwise use any security that has been blocked by the concerned depository participant on the instructions of the stock broker, the client or by its power of attorney holder at an time, and the client authorize the stock broker to instruct the concerned depository participant on client's behalf the dishonor any such instructions.
12. Restriction / Regulation on Dealings: The parties shall mutually decide, from time to time, the volume of business which the client may transact during any trading day on any Exchange. not with standing such an mandatory and voluntary client registration document / arrangement between the parties, the stock broker shall have absolute discretion to reduce the volume of business of the client or restrict dealings by the client without any prior notice to the client having regard to i) The volatility in the market
ii) In view of impending price sensitive annoucements;
iii) Any restriction in relation to volume of trading / outstanding business or margins stipulated by any exchange;
iv) Political instability in the country;
v) Presence of any other price sensitive factors;
Once in a Calender Quarter Once a Calender Month
Thanking you, Yours truly

Second Holder

Signature

**≪** x \_

Third Holder

Signature

Client Name:

Sole / First Holder

Signature

Sole / First Holder

Signature

# UNDERTAKING FOR SUBMISSION OF DEMAT INSTRUCTION SLIP MANASHVI SECURITIES LTD. Dear Sir, In reference to my / our Demat Account with you as your client, I/We hereby agree with your policy regarding accepting of Demat. Instruction Slip for pay-in other than MANASHVI SECURITIES LTD. and all other off Market and Inter DP Instruction Slip to be accept physically up to 5.30 p.m. I/We confirm that I / We fully responsible for any error occurred for late submission of Demat Instruction Slip. Thanking You, Client Signature XX \_ Sole / First Holder Second Holder Third Holder Signature ADJUSTMENT OF CDSL BILL WITH TRADING ACCOUNT To, MANASHVI SECURITIES LTD. Dear Sir. having a Beneficial Demat Account No. 1 2 0 4 7 8 0 0 & Trading A/c. No. you, I hereby Authorise you to sett off / Adjust my Debit Balance of Demat Account with my Trading A/c. from time to time. Thanking You,

Second Holder

Signature

Third Holder

Signature

DP ID: 47800

DP SEBI REG NO. IN-DP-CDSL-215-2003

SCHEDULE OF CHARGES FOR BSDA (BASIS SERVICE DEMAT ACCOUNT)				
Particular				
Eligibility as a BSDA Holder		BSDA Holder should not have any other demat account across depositories as first / sole holder.		
BSDA-AMC (Condition for AMC)		1. Scheme A: Holding Valuation in Demat account up to Rs. 50000/- No AMC  2. Scheme B: Holding Valuation in Demat Account Between Rs. 50000/- to Rs. 200000/- AMC Rs. 100/-  * Note: If the value of holding in such BSDA exceeds the prescribe criteria at any date AMC charges as applicable to regular accounts AMC Rs. 350/		
Transaction Charges	POA-Transaction (on & EP) Off market	Rs. 50/-		
	Inter DP	Rs. 50/- Rs. 50/-		
Demat Charges (Per DRF)		Rs. 10/- Per certificate + Courier Charges of Rs. 50/- Per demat request for every 250 gsm.		
Remant Charges (Per RRF)		Rs. 15/- + Courier Charges of Rs. 35/-		
Pledge/Unpledge Creating/Closure/Innovation)		Rs. 50/- Per request + Rs. 50/- Courier Charges		
Failed Instruction		Rs. 10/- Per transaction		
Freeze-De-Freeze		Rs. 100/-		
Other charges		<ol> <li>Statement on Demand Rs. 5/- per page.</li> <li>Valuation report on Demand - Rs. 25/</li> <li>Client Master List on Demand - Rs. 5/- per page.z</li> </ol>		
Modification Charges		Rs. 25/- (Address & Bank details change)		

## **PLEASE NOTE**

- (1) All Transaction charges are variable.
- (2) All Charges mentioned above are inclusive of CDSL charges.
- (3) Services Tax is not included in above charges.
- (4) The above charges are subject to change with 30 days prior notice. However, in case of revision of charges by CDSL a shorter notice may be given.
- (5) DIS Lost/Misplace issuance charge per request Rs. 100/-
- (6) Transaction Charges once paid will not be refunded.
- (7) It is purely Discretion of company regarding shifting of above schemes.
- (8) AMC will levy on pro-data basis for the first financial year. There after AMC shall be levied up-front in April on all accounts outstanding as at the end of march every year. AMC will be refunded on pro-rata basis if account close during financial year.
- (9) In addition to stamp paper charges and AMC for the first year payable by new clients in advance payment of Rs. 350/- shall be collected for adjustment against future transaction charge for existing client and new clients.
- (10) The depository service may be temporarily disconnected if cheque given for availing DP services is returned unpain. Intimation of discontinuation of the DP services shall be in accordance with the rules specified by CDSL. The DP services shall be resumed after recovery of the returned cheque plus Rs. 100/- as additional charges.
- (11) Charges quoted above are for the services listed. Any services not quoted above will be charged separately.
- (12) I/We understand that increase of any non compliance of the byelaws, Rules and regulations as laid down by SEBI/Depositories, and for any other matters that may be decided by you from time to time, you may charge penalty on me. Such penalty amount shall be directly debit to my Trading Account or otherwise.
- (13) If you wish opt BSDA service in your Demat account, fill up additional declaration of BSDA service along with Bo Holder Signature and submit to DP.

≪x	<b>≪</b> x	≪ X
Sole / First Holder	Second Holder	Third Holder
Signature	Signature	Signature

Date:				
То,				
Manashvi Securities Limited,				
Gandhinagar.				
Sub: BSDA				
Ref: 12047800				
Dear Sir,				
I/We				give you consent fo
BSDA (basic service demat account) / re	gular demat a	ccount.		
BSDA (Charges will be applica	able as per BSE	DA)		
Regular Demat (Charges will be	be applicable a	as per normal den	nat account)	
Thanks				
<u>Additional</u>				
<b>Consolidated Account Statement</b>				
Yes				
No				
Note : Charges will be applicable as	per our teri	ff structure (Plea	se refer extra staten	nent charges)
	•	•		<b>.</b>
<b>&amp;</b> X	≪x		≪x	
Sole / First Holder Signature	Sec	cond Holder Signature	ו	Third Holder Signature

# **MANASHVI SECURITIES LTD.**

Dear Valued Client,

As per the directions of Regulatory Authorities, Depository Participants (DPs) are required to classify client's demat account under two categories - Regular Demat accounts (RSDA) and Basic Service Demat Accounts (BSDA). It is mandatory to bring all accounts with holding value of less than Rs 2 Lakhs under BSDA category, unless the client opts otherwise.

In this BSDA category, the accounts with holding value below Rs 50,000 shall not attract any Annual Maintenance Charges (AMC) and those account with holding value from Rs. 50,001 to Rs. 2,00,000 will be levied only Rs. 100 as AMC. BSDA accounts will be levied higher applicable AMC Charges on value of holdings exceeding prescribed limits. As and when the value of holdings exceeds the limit of Rs. 2 lakhs, automatically RSDA charges will be applied.

Please find the revised Tariff for BSDA and Regular accounts. If you wish to continue as a Regular Demat Account Holder (RSDA), you may please sign the option letter given below and return it to us at the earliest. In case we do not hear from you, your account will be classified as BSDA and the revised tariff will be effective from 1st June 2016. For any clarifications please contact the undersigned on our (079) 23240880

Assuri Mauli 079-6	k Cha	uhan	ur bes	st serv	rices a	is pos:	sible.								
	do no	ot wis	h to (	opt fo	or Bas	sic Sei	rvice	Dema	nt Acc	ount	(BSD		Ne w	vould ve rea	
under							0								
Signat	ııre.	≪x						´x				. <i>S</i>	X		
Jigilat	ure.		So	le / First Signat	t Holder ture	-				nd Hold nature	er			Third F Signa	
Name	:		1 <sup>st</sup> H	lolder			2r	<sup>nd</sup> Hol	der		_	3rd H	older	_	

# **MANASHVI SECURITIES LIMITED**

#### CIN-U67120MH1997PLC110688

Member of BSE Cash Segment Clg No. 697 SEBI Regn. No. INZ 000225733 MEMBER OF CDSL- SEBI Regn. No IN-DP-CDSL-215-2003 Member of NSE Cash & Derivatives Segment Code 09820 SEBI Regn. No. NSE CASH / F & O/ CDS INZ 000225733

Regd. Office: 524, P.J. Tower, Dalal Street, Fort, Mumbai –400023. Tel: 22723480 Web Side: <a href="www.manashvi.com">www.manashvi.com</a>
Corporate Office: 417/A, Anjani Complex, Pereira Hill Road, Opp. Gurunanak Petrol Pump, Nr. WEH Metro Station, Andheri (E) Mumbai-400 099
Tel.: 2825 3301/2 Email: <a href="manashvi@manashvi.com">manashvi@manashvi.com</a>
Branch Office: 556 1st Floor Opp. Tejas Society,
Sector-23, Gandhinagar-382 024. Tel: 079-68101112 Fax: - 079-68101105 & 562/1 Arambh Opp. Tejas Society, Sector-23,
Gandhinagar-382 024. Tel: 079- 68101107/08 Email: gandhinagar@manashvi.com

## FATCA / CRS DECLARATION FOR INDIVIDUALS ACCOUNTS

Note – The information in this section is being collected because of enhancements to Manashvi Securities Limited's account on-boarding procedures in order to fully comply with Foreign Account Tax Compliance Act (FATCA) requirements and the Common Reporting Standards (CRS) requirements pursuant to amendments made to Income-tax Act, 1961 read with Income-tax Rules, 1962.

For more information refer:

http://www.incometaxindia.gov.in/dtaa/other%20agreements/india iga final- india english.pdf http://www.oecd.org/ctp/exchange-of-tax-information/automatic-exchange-financial-account-information-common-reporting-standard.pdf

(We are unable to provide advice about your tax residency. If you have any questions about your tax residency, please contact your tax advisor)

Part A (All fields are mandatory)

Section	on I	Detail	s of Account Holder
1	Trading code: Client ID (To be provided only if demat account is with MSLDP):		
2	PAN*		
3	Name of Account Holder		
4	Address for Tax Residence (include City, State, Country and Pin code)		
5	Address Type (Tick whichever applicable)	<ul><li>(a) Residential</li><li>(b) Business</li><li>(c) Registered Office</li></ul>	
6	Do you satisfy any of the criteria mentioned below?	Yes	No
a.	Citizen of any country other than India (dual / multiple) [including Green card]		
b.	Country of birth is any country other than India		
c.	Tax resident of ANY country/ies other than India		
d.	POA or a mandate holder who has an address outside India		
e.	Address or telephone number outside India		
	If your answer to any of the above questions is a 'YE acknowledgment		form, else go to declaration &
	Section II - Other information (Please fill in	_	
	Father's name (If PAN not available		
	Country of Birth' Pla		
	'(If USA, however nationality and/or country o	f tax residency is not USA, pl	ease provide documentary
	evidence as mentioned in Instruction 1)		
	Source of Wealth	Nationality	-
	Please list below the details, confirming ALL c and ALL Tax Identification Numbers	ountries of tax residency/ pe	rmanent residency/ citizenship

Country of Tax residency	Tax identification no\$	Tax identification document (TIN or
		functional equivalent)

\$It is mandatory to supply a TIN or functional equivalent (in case TIN not available) if the country in which you are tax resident issues such identifiers. If no TIN /functional equivalent is yet available or has not yet been issued, please provide an explanation below.\_\_\_\_\_\_

<b>Declaration</b>	I being the beneficial owner of the account opened/to be
Acknowledge	nent opened with Manashvi Securities Limited (MSL) and the income credited therein, declare that
	the above information and information in the submitted documents to be true, correct and
	updated, and the submitted documents are genuine and duly executed.
	I acknowledge that towards compliance with tax information sharing laws, such as FATCA/
	CRS, the MSL may be required to seek additional personal, tax and beneficial owner information
	and certain certifications and documentation from the account holder. Such information may be
	sought either at the time of account opening or any time subsequently. In certain
	circumstances (including if the MSL does not receive a valid self-certification from me) the MSL
	may be obliged to share information on my account with relevant tax authorities. Should there
	be any change in any information provided by me I ensure that I will advise MSL
	promptly, i.e., within 30 days.
	Towards compliance with such laws, the MSL may also be required to provide information to
	any institutions such as withholding agents for the purpose of ensuring appropriate
	withholding from the account or any proceeds in relation thereto. As may be required by
	domestic or overseas regulators/tax authorities, the MSL may also be constrained to withhold
	and pay out any sums from my account or close or suspend my account(s).
	I also understand that the account will be reported if any one of the aforesaid FATCA/CRS
_	criteria for any of the account holders i.e. primary or joint (in case of demat account) are met.
Customer	
Signature	ØX ØX ØX
	Sole / First Holder Second Holder Third Holder
	Signature Signature Signature
Date	

## **Instructions to the Form**

In case customer has the following Indicia pertaining to a foreign country and yet declares self to be non-tax resident in the respective country, customer to provide relevant Curing Documents as mentioned below:

S. No.	FATCA/ CRS Indicia observed (ticked)	Documentation required for Cure of FATCA/ CRS indicia
1	U.S. place of birth	<ol> <li>Self-certification that the account holder is neither a citizen of United States of America nor a resident for tax purposes;</li> <li>Non-US passport or any non-US government issued document evidencing nationality or citizenship (refer list below); AND</li> <li>Any one of the following documents:         <ul> <li>- Certified Copy of "Certificate of Loss of Nationality or</li> <li>- Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship; or</li> <li>- Reason the customer did not obtain U.S. citizenship at birth</li> </ul> </li> </ol>
2	Residence/mailing address in a country other than India or Telephone number in a country other than India	<ol> <li>Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and</li> <li>Documentary evidence (refer list below)</li> </ol>
3	Standing instructions to transfer funds to an account maintained in a country other than India (other than depository accounts)	<ol> <li>Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and</li> <li>Documentary evidence (refer list below)</li> </ol>
4	POA granted to a person with an address in a country outside India	<ol> <li>Self-certification that the account holder is neither a citizen of United States of America nor a tax resident for tax purposes of any country other than India; OR</li> <li>Documentary evidence (refer list below)</li> </ol>

List of acceptable **documentary evidence** needed to establish the residence(s) for tax purposes:

- 1. Certificate of residence issued by an authorized government body\*
- 2. Valid identification issued by an authorized government body\* (e.g. Passport, National Identity card, etc.)
- \* Government or agency thereof or a municipality of the country or territory in which the payee claims to be a resident.



From :- Client Code :
Client Name :- Address :-
To, Manashvi Securities Ltd. 524, P.J. Towers, Dalal Street Fort, Mumbai - 400 001
Dear Sir,
My UNIQUE CLIENT CODE (MSL Client Code/BO ID) is
I,hereby Acknowledge that I have received a copy of:
<ul> <li>Accounting Opening Letter,</li> <li>Copy of KYC &amp; Trading Account related details.</li> <li>Copy of Rights and Obligations, OP BO and DP</li> <li>Copy of Risk Disclosure Document,</li> <li>Copy of Guidance Note, Policies and Procedures, Tariff Sheet,</li> <li>Copy of Valuntary (Non-Mandatory) documents &amp; Annexures signed / provided by me during application</li> <li>Copy of any other document submitted by me during application</li> </ul>
Thanking You Yours faithfully,
Sole / First Holder Signature Signature Signature Signature  Second Holder Third Holder Signature
Date :
Place :

	Maker	Chequer
Checking	1)	2)
Demat	3)	4)
КҮС	5)	6)
СКҮС	7)	8)
Verify	9)	10)
Final Checking	11)	12)